North Pacific (Pty) Ltd

Individual client application (KYC)

For individuals opening an OTC desk account with North Pacific (Pty) Ltd (FSP 54432). Please complete every section, attach the documents listed in the onboarding checklist, and email it to admin@northpacific.co.za. We verify every client under the Financial Intelligence Centre Act (FICA) before trading.

Version 1.0. Effective 10 November 2026.

1. Personal details

Full name (as on ID)	
Previous names (if any)	
ID or passport number	
Nationality	
Date of birth	
Residential address	
Mobile (for calls and WhatsApp)	
Email	

2. Occupation and wealth

Occupation and employer (or business owned)	
Annual income (approximate)	
Source of wealth (how you built your overall wealth, e.g. salary, business sale, inheritance, investments)	
Source of funds for trading (where this money comes from)	

3. Expected activity with North Pacific

Purpose of the account	

Expected trade size (typical and largest)	
Expected monthly volume (ZAR)	
Expected frequency	☐ Daily ☐ Weekly ☐ Monthly ☐ Occasional
Main direction	☐ Buying USDT ☐ Selling USDT ☐ Both
Will you send USDT outside South Africa? If yes, to whom and why	

4. Bank account for rand payouts

Must be in your own name. Attach a bank confirmation letter or statement dated within the last 3 months.

Bank	
Account holder	
Account number	
Branch code	
Account type	

5. Wallet for USDT withdrawals (Travel Rule)

Wallet address (Ethereum, ERC-20)	
Wallet type	☐ Self-hosted (I hold the keys) ☐ Held at an exchange or other provider
If at a provider: provider name and account holder name	

6. Screening questions

Are you subject to sanctions?	☐ Yes ☐ No

Have you been convicted of, or are you under investigation for, financial crime?	☐ Yes ☐ No
Are you a domestic or foreign prominent public official (DPIP/FPPO), a family member or close associate of one?	☐ Yes ☐ No
Are you acting on behalf of anyone else?	☐ Yes ☐ No
If yes to any, please explain	

7. Tax residency

Country (countries) of tax residence	
Tax identification number(s)	
Are you a US citizen or US tax resident?	☐ Yes ☐ No

Declarations

- I confirm that the information in this form and the documents provided are true, complete and correct, and that I will tell North Pacific (Pty) Ltd promptly of any change.
- I am is dealing for its own account and not on behalf of any undisclosed person.
- The funds and crypto assets used with North Pacific do not come from any unlawful activity, and will not be used for any unlawful purpose.
- I have read and accept the OTC client terms of business, and have received the FAIS disclosures, conflict of interest management policy, crypto asset risk disclosure and privacy policy (all at northpacificgroup.co.za).
- I consent to North Pacific processing the personal information in this form, and confirm I have the consent of every person named in it, for the purposes in the privacy policy, including identity verification, screening, Travel Rule and regulatory reporting.
- I understand that North Pacific may decline or end the relationship, and may need to report information to the Financial Intelligence Centre or other authorities as the law requires.

Full name	

Signature	
Date and place	

For North Pacific use only

Client reference	
Client screening (sanctions, DPIP/FPPO, adverse media)	☐ Clear ☐ Match reviewed
Risk rating	☐ Low ☐ Medium ☐ High (enhanced due diligence)
Documents verified by (name, date)	
Approved by: a second compliance officer (name, date)	
Notes	