North Pacific (Pty) Ltd

Business client application (KYB)

For companies, trusts, partnerships and other entities opening an OTC desk account with North Pacific (Pty) Ltd (FSP 54432). Please complete every section, attach the documents listed in the onboarding checklist, and email it to admin@northpacific.co.za. We verify every client under the Financial Intelligence Centre Act (FICA) before trading.

Version 1.0. Effective 10 November 2026.

1. The business

Registered name	
Trading name	
Registration number	
Entity type	☐ Company ☐ Trust ☐ Partnership ☐ Other: _____
Country of registration	
Date of registration	
Registered address	
Operating address (if different)	
VAT number	
Income tax number	
Website	
Main contact: name, mobile, email	

2. Nature of business

What does the business do?	
Industry / sector	
Is the business regulated? If yes, regulator and licence number	

Does the business provide crypto asset services to others?	☐ Yes ☐ No
Countries where it operates or has customers	
Annual turnover (approximate)	

3. Expected activity with North Pacific

Purpose of the account (e.g. supplier payments, treasury, liquidity)	
Expected trade size (typical and largest)	
Expected monthly volume (ZAR)	
Expected frequency	☐ Daily ☐ Weekly ☐ Monthly ☐ Occasional
Main direction	☐ Buying USDT ☐ Selling USDT ☐ Both
Source of funds for trading (where the money comes from)	
Counterparties you send USDT to or receive it from (names, countries)	

4. Directors, trustees or partners

Give the details of every director (or trustee or partner). Attach a separate sheet for more people.

Director 1: full name	
ID or passport number	
Nationality	
Date of birth	
Residential address	
Mobile and email	

Ownership % (if any)	
Prominent person (DPIP/FPPO) or close associate?	☐ Yes ☐ No
Director 2: full name	
ID or passport number	
Nationality	
Date of birth	
Residential address	
Mobile and email	
Ownership % (if any)	
Prominent person (DPIP/FPPO) or close associate?	☐ Yes ☐ No

5. Beneficial owners

Every natural person who ultimately owns or controls 5% or more of the business, directly or through other entities or trusts, and anyone who otherwise exercises effective control. Include an ownership structure chart if ownership runs through other entities.

Beneficial owner 1: full name	
ID or passport number	
Nationality	
Date of birth	
Residential address	
Mobile and email	
Ownership % (if any)	
Prominent person (DPIP/FPPO) or close associate?	☐ Yes ☐ No
Beneficial owner 2: full name	

ID or passport number	
Nationality	
Date of birth	
Residential address	
Mobile and email	
Ownership % (if any)	
Prominent person (DPIP/FPPO) or close associate?	☐ Yes ☐ No

6. Authorised persons

People allowed to give the desk instructions, accept quotes, and request payouts or withdrawals for the business. We only act on instructions from these people, from the contact details below.

Authorised person 1: name and role	
ID or passport number	
Mobile (for calls and WhatsApp)	
Email	
Authorised person 2: name and role	
ID or passport number	
Mobile (for calls and WhatsApp)	
Email	

7. Bank account for rand payouts

Must be in the name of the business. Attach a bank confirmation letter dated within the last 3 months.

Bank	
Account holder	
Account number	

Branch code	
Account type	

8. Wallet for USDT withdrawals (Travel Rule)

USDT on Ethereum (ERC-20) only. Tell us who controls the wallet: we need this before we can send to it.

Wallet address	
Wallet type	☐ Self-hosted (the business holds the keys) ☐ Held at an exchange or other provider
If at a provider: provider name and account holder name	

9. Screening questions

Is the business, or any director, beneficial owner or authorised person, subject to sanctions?	☐ Yes ☐ No
Has any of them been convicted of, or is under investigation for, financial crime?	☐ Yes ☐ No
Is any of them a domestic or foreign prominent public official (DPIP/FPPO), a family member or close associate of one?	☐ Yes ☐ No
Does the business deal with sanctioned countries, or in gambling, weapons, adult content or unlicensed financial services?	☐ Yes ☐ No
If yes to any, please explain	

10. Tax residency

Country (countries) of tax residence	
Tax identification number(s)	
Is the business a US person for tax purposes?	☐ Yes ☐ No

Declarations

- I confirm that the information in this form and the documents provided are true, complete and correct, and that I will tell North Pacific (Pty) Ltd promptly of any change.
- The business is dealing for its own account and not on behalf of any undisclosed person.
- The funds and crypto assets used with North Pacific do not come from any unlawful activity, and will not be used for any unlawful purpose.
- I have read and accept the OTC client terms of business, and have received the FAIS disclosures, conflict of interest management policy, crypto asset risk disclosure and privacy policy (all at northpacificgroup.co.za).
- I consent to North Pacific processing the personal information in this form, and confirm I have the consent of every person named in it, for the purposes in the privacy policy, including identity verification, screening, Travel Rule and regulatory reporting.
- I understand that North Pacific may decline or end the relationship, and may need to report information to the Financial Intelligence Centre or other authorities as the law requires.

Signed by (name)	
Capacity (must be a director or authorised signatory)	
Signature	
Date and place	

For North Pacific use only

Client reference	
Entity and all related persons screening (sanctions, DPIP/FPPO, adverse media)	☐ Clear ☐ Match reviewed
Risk rating	☐ Low ☐ Medium ☐ High (enhanced due diligence)

Documents verified by (name, date)	
Approved by: a second compliance officer (name, date)	
Notes	